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# **Security Policies**

EECE 412 Session 11

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# Last Topic Recap

#### Content

- Authentication system definition
- Password-based authentication
- Challenge-response authentication
  - S/Key one-time password system
- Biometric authentication
- Multi-factor authentication
- Ways to break and improve authentication systems

#### Key points

- Authentication is not just about cryptography
  - You have to consider system components
- Passwords are here to stay
  - They provide a basis for most forms of authentication
- Two or three -factor authentication is the best yet more expensive



#### Outline

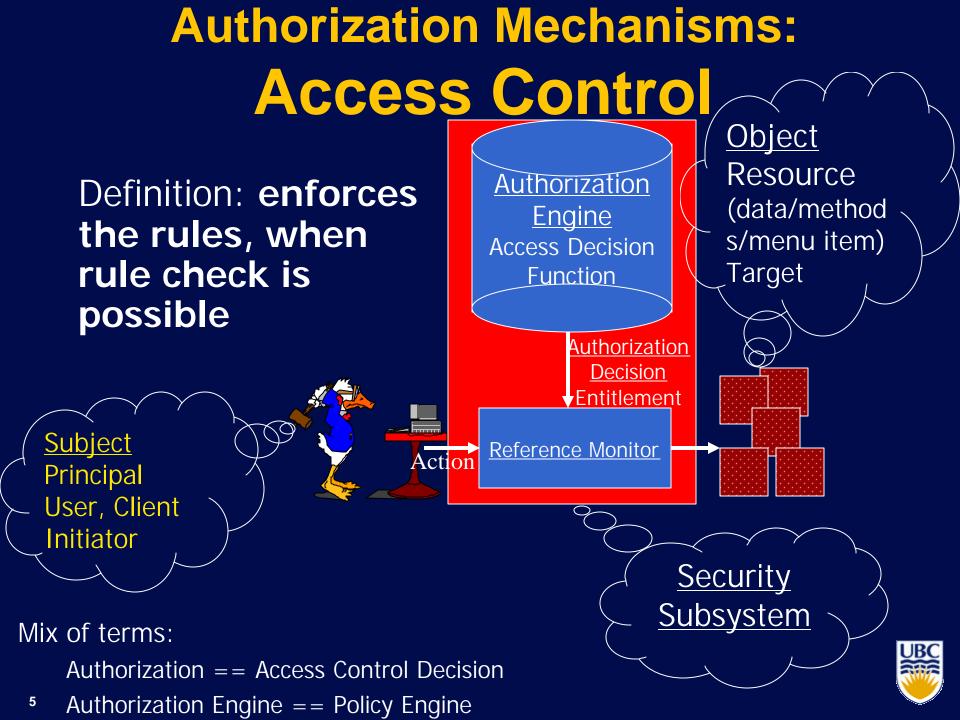
- Access control mechanisms
- Access Matrix
- Security policies
  - Confidentiality policies
    - Bell LaPadula confidentiality model



## Where We Are

Protection					Assurance			
Authorization		Accountability	Availability		Irance	Jce	Assurance	Assurance
Access Control	Data Protection	Audit	Service Continuity	Disaster Recovery	Requirements Assurance	Design Assurance	Development Assı	Operational Assu
		Non- Repudiation						
Authentication								
Cryptography								





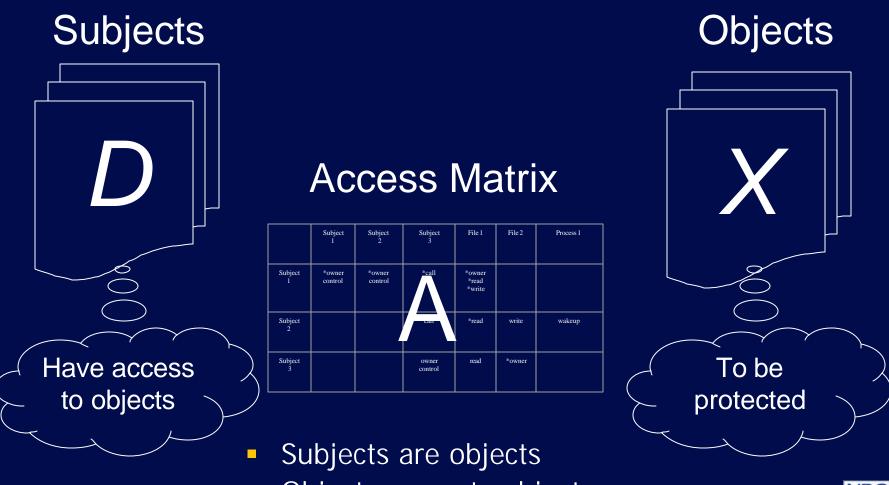


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#### **Access Matrix**

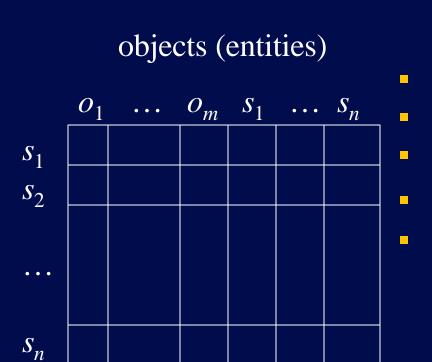
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# **Object System**



Objects are not subjects

#### **Access Matrix Structure**



- Subjects  $S = \{ s_1, \dots, s_n \}$
- Objects  $O = \{ o_1, ..., o_m \}$
- Rights  $R = \{ r_1, ..., r_k \}$
- Entries  $A[s_i, o_j] \subseteq R$
- $A[s_i, o_j] = \{ r_{x'}, ..., r_y \}$  means subject  $s_i$  has rights  $r_{x'}, ..., r_y$ over object  $o_j$



subjects

#### Example

- Processes p, q
- Files f, g
- Rights r, w, x, a, o

	f	g	p	q
p	rwo	r	rwxo	W
q	а	ro	r	ΓWXO



# **Matrix Implementation Techniques**

- **1**.  $T = \{ < d, x, A_{d,x} > \} \text{impractical} \}$ 
  - a) Only relevant parts of A need to be handy
  - b) Could be very inefficient for some As (e.g. public files)
  - c) List of objects to which d has access
- 2. Capability =  $\langle x, A_{d,x} \rangle$ 
  - C-lists
  - Attach C-list to domains
  - Addresses (a), (c) and potentially (b)
- 3. attach the protection information to the object:  $A_x(d)$ 
  - Access key capability used for identification, (credential)
  - {<access key, {access attributes}>} access control list (ACL)



## **Group Work**

ACLs are good for revoking individual's access to a particular file.

- How hard is it to revoke a user's access to a particular set of files, but not to all files, with ACLs?
- Compare and contrast this with the problem of revocation using capabilities.



### **Access Matrix Summary**

#### Object System

- Subjects, objects, access matrix
- Objects are shared
- All subjects are objects
  - not all objects are subjects
- Matrix modification rules
- Matrix implementation
  - Capability lists
  - Access control lists





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# **Security Policies**

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# What's Security Policy?

- Policy partitions system states into:
  - Authorized (secure)
    - These are states the system can enter
  - Unauthorized (nonsecure)
    - If the system enters any of these states, it's a security violation

#### Secure system

- Starts in authorized state
- Never enters unauthorized state
- Authorized state in respect to what?



# What's Confidentiality?

- X set of entities, I information
- I has confidentiality property with respect to X if
  no x ∈ X can obtain information from I
- I can be disclosed to others
- Example:
  - X set of students
  - I final exam answer key
  - *I* is confidential with respect to *X* if students cannot obtain final exam answer key



# What's Integrity?

#### X set of entities, I information

- I has integrity property with respect to X if all  $x \in X$  trust information in I
- Examples?



# **Types of Access Control**

- Discretionary Access Control (DAC, IBAC)
  - individual user sets access control mechanism to allow or deny access to an object
- Mandatory Access Control (MAC)
  - system mechanism controls access to object, and individual cannot alter that access

Originator Controlled Access Control (ORCON)

 originator (creator) of information controls who can access information



#### Question

- Policy disallows cheating
  - Includes copying homework, with or without permission
- A class has students do homework on computer
- Alice forgets to read-protect her homework file
- Bob copies it
- Who cheated?
  - Alice, Bob, or both?



#### Answer

#### Bob cheated

- Policy forbids copying homework assignment
- Bob did it
- System entered unauthorized state (Bob having a copy of Anne's assignment)
- If not explicit in computer security policy, certainly implicit
  - Not credible that a unit of the university allows something that the university as a whole forbids, unless the unit explicitly says so



#### **Answer** Part #2

- Alice didn't protect her homework
  - Not required by security policy
- She didn't breach security
- If policy said students had to read-protect homework files, then Alice did breach security
  - She didn't do this



# Key Points about Policies and Mechanisms

- Policies describe what is allowed
- Mechanisms control how policies are

enforced





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# **Confidentiality Policies**

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# What's Confidentiality Policy

- Goal: prevent the unauthorized disclosure of information
  - Deals with information flow
  - Integrity incidental
- Multi-level security models are best-known examples
  - Bell-LaPadula Model basis for many, or most, of these



## Bell-LaPadula Model, Step 1

- Security levels arranged in linear ordering
- Example:
  - Top Secret: highest
  - Secret
  - Confidential
  - Unclassified: lowest
- Subjects have security clearance L(s)
- Objects have security classification L(o)



# Example

security level	subject	object
Top Secret	Alice	Personnel Files
Secret	Bob	E-Mail Files
Confidential	Chiang	Activity Logs
Unclassified	Fred	Telephone Lists

- Alice can read all files
- Chiang cannot read Personnel or E-Mail Files
- Fred can only read Telephone Lists



# **Reading Information**

Information flows up, not down

- "Reads up" disallowed, "reads down" allowed
- Simple Security Property
  - Subject s can read object o iff, L(o) = L(s) and s has permission to read o
    - Note: combines mandatory control (relationship of security levels) and discretionary control (the required permission)
  - Sometimes called "no reads up" rule



# **Writing Information**

#### Information flows up, not down

"Writes up" allowed, "writes down" disallowed

#### \*-Property

- Subject s can write object o iff L(s) = L(o) and s has permission to write o
  - Note: combines mandatory control (relationship of security levels) and discretionary control (the required permission)
- Sometimes called "no writes down" rule



## Bell-LaPadula Model, Step 2

- Expand notion of security level to include categories
- Security level is (clearance, category set)
- Examples
  - ( Top Secret, { NUC, EUR, ASI } )
  - ( Confidential, { EUR, ASI } )
  - ( Secret, { NUC, ASI } )

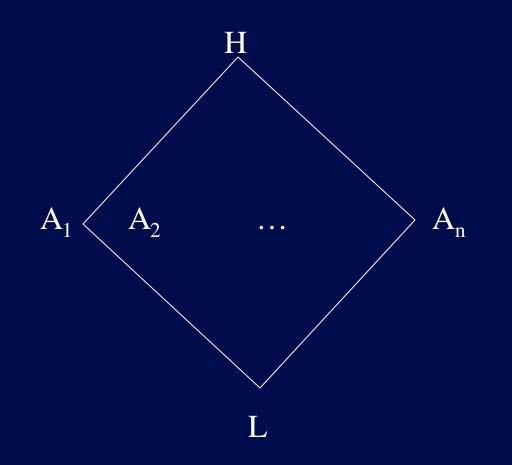


#### **Levels and Lattices**

- (A, C) dominates (A c C  $\phi$  iff A' = A and C c c C
- Examples
  - (Top Secret, {NUC, ASI}) dom (Secret, {NUC})
  - (Secret, {NUC, EUR}) dom (Confidential, {NUC, EUR})
  - (Top Secret, {NUC}) ¬dom (Confidential, {EUR})
- Let C be set of classifications, K set of categories. Set of security levels  $L = C \times K$ , dom form lattice

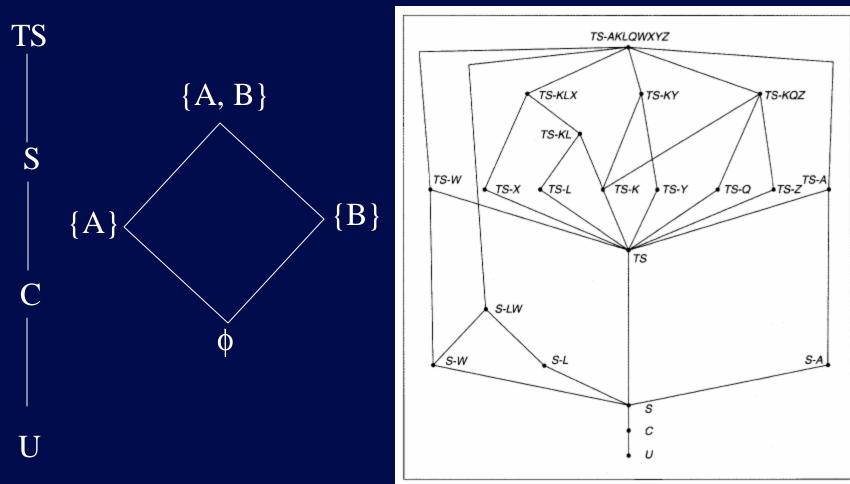


#### **Bounded Isolated Classes**





### **The Military Lattice**





# **Levels and Ordering**

#### Security levels partially ordered

 Any pair of security levels may (or may not) be related by *dom* relation

#### Note:

- "dominates" serves the role of "greater than"
- "greater than" is a total ordering, though



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# Problem

- Colonel has (Secret, {NUC, EUR}) clearance
- Major has (Secret, {EUR}) clearance
- Major can talk to colonel ("write up" or "read down")
- Colonel cannot talk to major ("read up" or "write down")
- Clearly absurd!



# **Solution**

- Define maximum, current levels for subjects
  - maxlevel(s) dom curlevel(s)
- Example
  - Treat Major as an object (Colonel is writing to him/her)
  - Colonel has *maxlevel* (Secret, { NUC, EUR })
  - Colonel sets *curlevel* to (Secret, { EUR })
  - Now L(Major) dom curlevel(Colonel)
    - Colonel can write to Major without violating "no writes down"



# Key Points Regarding Confidentiality Policies

- Confidentiality policies restrict flow of information
- Bell-LaPadula model supports multilevel security
  - Cornerstone of much work in computer security



## **Next Session Preview**

#### Integrity policies

- Biba integrity model
- Clark-Wilson integrity model
- Hybrid policies
  - Chinese Wall model
  - Role-based access control model

